

New Adviser

Please refer to the end of this document for submission instructions.

Section 1 – Adviser Details

Title Mr Mrs Miss Ms Other
Full given name/s Surname

Other name/s commonly known by (if applicable) Date of Birth (DD/MM/YYYY) Authorised representative number

Adviser's company name ACN/ABN

Employment Information

Refer to 'Job and Industry Classifications List' available on the website for the list of acceptable job categories and types.

Job category Job type

Employment Status
 Employed Self-Employed Retired Unemployed

Contact Details

Email address (mandatory) Mobile number (mandatory)

Home number Work number

Residential address of the Adviser (cannot be a PO Box)

State Postcode Country

Registered address of the Business (cannot be a PO Box)

State Postcode Country

Postal address of the Business (If same as residential address, write 'As above')

State Postcode Country

Principal place of the Business (sole traders only. Cannot be a PO Box. If same as residential address, write 'As above')

State Postcode Country

Dealer Group Details (select one only)

AFSL holder – AFSL number Licensee name

Business Development Manager (BDM) Details (optional)

Business Development Manager name

Section 3 – Declaration and Acknowledgements

- I understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure.
- I declare:
 - That the information I have provided in this form is true and correct;
 - I have appropriate qualifications, training and experience;
 - I am an authorised representative of the Dealer Group;
 - I have the relevant authorisations from the Dealer Group for the services applied for under this form;
- I acknowledge that I am responsible to promptly inform the Bank if there is any change to my status as an authorised representative of an AFS licensee, or as a credit licensee or as a Director/Employee or as a credit representative of a credit licensee as applicable.
- I consent to the collection, use and exchange of my personal information for the purposes of managing and maintaining my relationship with you, including confirming my identity, communicating with me, and complying with relevant laws and regulations. I understand that personal information collected, used or shared (including with third parties) will be in accordance with the terms outlined in the CBA Privacy Policy available from the Geared Investments website. I have read through your Privacy Policy as it contains important information on how CBA handles personal information and details on how to raise privacy concerns and complaints.
- I have read and agree to accept and abide by the Commonwealth Banks Terms and Conditions for the relevant product or service, prior to providing any financial service. These along with other legal documents are available on the Commonwealth Bank Geared Investments website and I agree to access these documents from the website.

Adviser

Full name

Date (DD/MM/YYYY)

Signature (must be signed pen to paper)

Where to from here?

I have attached copies of the following documents:

All applicants

Authorised Representative Certificate or Employee Representative Certificate; and
Certified copy of Driver Licence or Passport

Geared Investments Loans are issued by Commonwealth Bank of Australia (The Bank) ABN 48 123 123 124 AFSL and ACL 234945 and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814. Commonwealth Securities Limited is a market participant of the ASX and Cboe Australia (Formerly Chi-X Australia), clearing participant of ASX Clear Pty Limited and settlement participant of ASX Settlement Pty Limited.

How to submit your documents

Once completed and signed, please scan and email the form to

 gearedinvestments@cba.com.au



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commbank.com.au/gearedinvestments



Geared Investments, Locked Bag 34, Australia Square NSW 1214