

Organisation Tax Residency Self-Certification

Guide to completing this form:

All customers are required by law to provide details of their country/ies of tax residency to their bank so these can be passed back to the relevant tax authority.

Please complete the following sections: **Organisation Details, Applicant Tax Residency Information, Organisation Tax Residency Information, Beneficial Owners information and Declaration.**

Please refer to the end of this document for submission instructions and explanatory notes.

Section 1 – Organisation Details

Name of entity/organisation	Organisation type		
Registered address (Cannot be a PO Box)		State	Postcode

Section 2 – Organisation Tax Information

Tax Residency Information - Instructions for completion:

- List all your countries of tax residency and your TIN for that country or 'Reason Code' if no TIN is available.
- If you list Australia as a country of tax residency, select 'Reason Code' C in the TIN column.
- If you are a US citizen or resident you must include USA as a country of tax residency.
- Tax residency information is not required for Publicly Listed Companies or Company Trustees except where lodging managed funds as collateral.

For further instructions on how to complete this section see Explanatory Notes at the end of this application.

Country/ies of Tax Residency

List all country/ies of tax residency.

Tax Identification Number (TIN) or Reason for no TIN

- Provide either TIN; or
- Select a 'Reason Code' if no TIN:
 - My country of tax residency does not issue TINs
 - I haven't been issued with a TIN by my country of tax residency
 - My country of tax residency doesn't require disclosure of a TIN

Is your organisation's primary business activity investing?

Select 'Yes' if the organisation earns more than 50% of its total income from investment activities (e.g. dividends, interest or rent) or more than 50% of the entities assets produce or are held for producing investment income.

Yes – Categorised as a Passive Organisation

No – Categorised as an Active Organisation

Section 3 – Applicant/Director/Trustee Tax Residency Information

Tax Residency and Tax Identification Number (TIN)

- Each country has different rules which govern tax residency. For individuals, these rules are often based on whether your home is there, the time you spend there, or if you work there. If you are unsure about your tax residency, you should seek professional advice. You can be a tax resident of more than one country.
- If the person is a US citizen or resident, you must include USA as one of your countries of tax residency.
- If the person has listed Australia as a country of tax residency, insert reason code C in the TIN column.
- Either a TIN or a reason code for no TIN for each country of tax residency is required to be provided:
 - My country of tax residency does not issue TINs
 - I have not been issued with a TIN by my country of tax residency
 - My country of tax residency does not require disclosure of a TIN

Section 3 – Applicant/Director/Trustee Tax Residency Information (continued)

Applicant/Director/Trustee 1

Title Full given name/s Surname Date of Birth (DD/MM/YYYY)

Street address

Country/ies of Tax Residency State Postcode Country
Tax Identification Number (TIN) or Reason Code for no TIN

Applicant/Director/Trustee 2

Title Full given name/s Surname Date of Birth (DD/MM/YYYY)

Street address

Country/ies of Tax Residency State Postcode Country
Tax Identification Number (TIN) or Reason Code for no TIN

Applicant/Director/Trustee 3

Title Full given name/s Surname Date of Birth (DD/MM/YYYY)

Street address

Country/ies of Tax Residency State Postcode Country
Tax Identification Number (TIN) or Reason Code for no TIN

Section 4 – Beneficial Owners Information

- Complete details for all Beneficial Owners (there must be at least one Beneficial Owner) below.
- If there are more than four Beneficial Owners, please capture the additional Beneficial Owner/s in a separate sheet.



Note:

“Beneficial Owner” means any individual who:

- Directly or indirectly owns an interest of 25% or more in the Organisation (such as shares), or if no such person, an individual who:
- Exercises control of the Organisation through other means (e.g. voting rights), or if no such person, an individual who:
- Holds the position of senior managing official (e.g. CEO, Managing Director, Managing Partner).

Beneficial Owner 1

Same as Applicant 1

Title Full given name/s Surname Date of Birth (DD/MM/YYYY)

Street address

Country/ies of Tax Residency State Postcode Country
Tax Identification Number (TIN) or Reason Code for no TIN

Section 4 – Beneficial Owners Information (continued)

Beneficial Owner 2

Same as Applicant 2

Title	Full given name/s	Surname	Date of Birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street address			
<input type="text"/>			
State		Postcode	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>
Country/ies of Tax Residency		Tax Identification Number (TIN) or Reason Code for no TIN	
<input type="text"/>		<input type="text"/>	

Beneficial Owner 3

Same as Applicant 3

Title	Full given name/s	Surname	Date of Birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street address			
<input type="text"/>			
State		Postcode	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>
Country/ies of Tax Residency		Tax Identification Number (TIN) or Reason Code for no TIN	
<input type="text"/>		<input type="text"/>	

Section 5 – Declaration

Customer Declaration

- I confirm that above information is true and correct and that I will promptly advise the Bank if any of the information changes.
- I certify that I am authorised by, and have the consent of the organisation, any Beneficial Owners and any Applicant/Director/Trustee to provide this information.
- I am authorised by each Beneficial Owner and each Applicant/Director/Trustee to provide the information on their behalf and they have confirmed to me that the information provided about them is true and correct. I confirm I have made them aware that this information and information relating to the account may be provided to the tax authorities.

Account Holder/Director 1

Full name	Position	Date (DD/MM/YYYY)	Signature (must be signed pen to paper)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Explanatory Notes

Tax Identification Number (TIN)

If you are a tax resident of another country, you will be asked for your Tax Identification Number (TIN) issued to you in that country, if you have one.

TIN is an international term which may have a different name in some countries.

A compilation of links to information about TINs for many countries can be found here: <http://www.oecd.org/>

Examples are Tax File Number (TFN) in Australia, Social Insurance Number (SIN) in Canada, Unique Taxpayer Reference (UTR) or National Insurance Number (NINO) in United Kingdom, Permanent Account Number (PAN) in India, IRD Number in New Zealand, and Tax Reference Number (TRN) in Malaysia.


Geared Investments Loans are issued by Commonwealth Bank of Australia (The Bank) ABN 48 123 123 124 AFSL and ACL 234945 and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814. Commonwealth Securities Limited is a market participant of the ASX and Cboe Australia (Formerly Chi-X Australia), clearing participant of ASX Clear Pty Limited and settlement participant of ASX Settlement Pty Limited.

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

 gearedinvestments@cba.com.au

 13 15 20

Advisers

Once completed and signed, please return the form by email to the details provided below.

 commbank.com.au/gearedinvestments