



Additional Adviser Authority

Please refer to the end of this document for submission instructions and explanatory notes.

Section 1 – Additional/Assistant Adviser Details

Dealer Group Details (select one only)

AFSL holder – AFSL number

Licensee name

Non-AFSL holder

Full company name (As registered by ASIC)

Australian Company Number (ACN)

Adviser Details

Title Mr Mrs Miss Ms Other

Full given name/s Surname

Other name/s commonly known by (if applicable) Date of Birth (DD/MM/YYYY)

Authorised representative number Adviser's company name

Additional/Assistant Adviser Contact Details

Residential address of the Adviser (cannot be a PO Box)

State Postcode Country

Registered address of the Business

State Postcode Country

Postal address of the Business (If same as residential address, write 'As above')

State Postcode Country

Email address (mandatory) Mobile number (mandatory)

Work number Additional email address

Section 2 – Sole Trader Information

Complete this section if you are a sole trader.

Full business name (if any) Australian Business Number (ABN) (optional)

Principle Place of Business See Explanatory Notes at the end of this document.

State Postcode Country

Section 3 – Additional/Assistant Adviser Setup Details

By completing this section you will gain access to the nominated primary adviser's clients.

Name of primary adviser you wish to have access to

Existing primary adviser number, adviser code or UserID

By completing this section you will gain view only access to the nominated primary adviser's clients

Section 4 – Declaration and Acknowledgements

- I/We understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I/We also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure. I/We declare that the information I/we have provided in this form is true and correct.
- I/We declare:
 - The additional/assistant adviser nominated has appropriate qualifications, training and experience;
 - The additional/assistant adviser is an authorised representative of the Dealer Group;
 - I/We have the relevant authorisations from the Dealer Group for the services applied for under this form;
 - A copy of the Dealer Group Agreement and/or Dealer Terms of Trade has been provided to the additional/assistant adviser; and
 - I/We have read and agree to the terms in the Dealer Group Agreement and/or Dealer Terms of Trade which relate to the provision of the nominated services.
- I/We acknowledge that I/we am/are responsible to promptly inform the Bank if there is any change to my/our status as an authorised representative of an AFS licensee, or as a credit licensee or as a Director/Employee or as a credit representative of a credit licensee as applicable.
- I/We consent to the collection, use and exchange of our/my personal information for the purposes of managing and maintaining my/our relationship with you, including confirming my/our identity, communicating with me/us, and complying with relevant laws and regulations.
- I/We understand that personal information collected, used or shared (including with third parties) will be in accordance with the terms outlined in the Privacy Policy available from the Geared Investments website. I/We have read through your Privacy Policy as it contains important information on how Commonwealth Bank handles personal information and details on how to raise privacy concerns and complaints.
- I/We have read and agree to accept and abide by the Terms and Conditions for the relevant product or service prior to receiving any financial service. These, along with other legal documents are available on the Geared Investments website and I/we agree to access these documents from the website.

Additional Adviser/Assistant Adviser Signature

Full name

Date (DD/MM/YYYY)

Primary Adviser Signature

Full name

Date (DD/MM/YYYY)

Signature (must be signed pen to paper)

X

Signature (must be signed pen to paper)

X

Where to from here?

I have attached copies of the following documents:

All applicants Certified copy of Driver Licence or Passport

AFSL holders only Authorised Representative Certificate or Employee Representative Certificate

Explanatory Notes

Australian Business Number (ABN), Tax File Number (TFN) or Exemption Code

Providing your ABN, TFN or Exemption Code is not compulsory, but if you do not, tax may be taken out of your interest at the highest marginal tax rate plus Medicare levy.

If you quote your TFN or ABN, you authorise the Participant to disclose it to its related bodies corporate, ASX Settlement Corporation, the provider of your cash management account, trustees, sponsors of your shareholdings and their agents and other issuers of securities for purposes relating to the securities in the trading account.

Geared Investments Loans are issued by Commonwealth Bank of Australia (The Bank) ABN 48 123 123 124 AFSL and ACL 234945 and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814. Commonwealth Securities Limited is a market participant of the ASX and Cboe Australia (Formerly Chi-X Australia), clearing participant of ASX Clear Pty Limited and settlement participant of ASX Settlement Pty Limited.

How to submit your documents


Advisers

Send this form and all supporting documents to the email address listed below.

If you are required to send Original Certified Copies of documents please send via post to:

 **Geared Investments, Locked Bag 34, Australia Square NSW 1214**

 gearedinvestments@cba.com.au

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 commbank.com.au/gearedinvestments