

Change of Dealer Group

To be completed by existing advisers only, when moving to a new Dealer Group.
Please refer to the end of this document for submission instructions.

Section 1 – Adviser Details

Dealer Group Details (please select one only)

AFSL holder

AFSL number

Licensee name

Full company name (As registered by ASIC)

Australian Company Number (ACN)

Title Mr Mrs Miss Ms Other

Full given name/s

Surname

Other name/s commonly known by (if applicable) Date of Birth (DD/MM/YYYY)

Authorised Representative Number

Client Adviser Number

Registered address of the Business (cannot be a PO Box)

<input type="text"/>		
State	Postcode	Country

Postal address of the Business (If same as residential address, write 'As above')

<input type="text"/>		
State	Postcode	Country

Contact Details

Email address (mandatory)

Mobile number (mandatory)

Home number

Work number

Section 2 – Employment Information

Employment Information

Refer to 'Job and Industry Classifications List' available on the website for the list of acceptable job categories and types.

Job category

Investment Advice

Job type

Finance

Employment Advice

Employment

Self-Employed

Retired

Employment Status

Section 3 – Declaration and Acknowledgements

- I understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure. I declare that the information I have provided in this form is true and correct.
- I declare:
 - I have appropriate qualifications, training and experience;
 - I am an authorised representative of the Dealer Group; and
 - a copy of the Dealer Group Agreement and/or Dealer Terms of Trade has been provided to me.
- I acknowledge that I am responsible to promptly inform the Bank if there is any change to my status as an authorised representative of an AFS licensee, or as a Credit licensee or as a Director/ Employee or as a credit representative of a credit licensee as applicable.
- The Commonwealth Bank's Group Privacy Statement contains more information about how the Group (including the Bank and CommSec) collects and handles personal information and is available at commbank.com.au/privacy or alternatively by visiting commbank.com.au and clicking the Privacy Statement link or asking for a copy at any CommBank branch.
- I have read and agree to accept and abide by the Terms and Conditions for the relevant product or service prior to receiving any financial service. These, along with other legal documents are available on the Geared Investments Loan website and I agree to access these documents from the website.

Adviser

Full name

Date signed (DD/MM/YYYY)

Signature (must be signed pen to paper)

Where to from here?

I have attached copies of the following documents:

AFSL holder

Authorised Representative Certificate or Employee Representative Certificate; and
Release Letter or Signed Client Letters

Commonwealth Bank Geared Investments Loan is issued by Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945. This product is administered by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814 (CommSec), a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia. CommSec is a Market Participant of ASX Limited and Cboe Australia Pty Limited, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents


Advisers

Send this form and all supporting documents to the email address listed below.

If you are required to send Original Certified Copies of documents please send via post to:

 **Geared Investments, Locked Bag 34,
Australia Square NSW 1215**

 **gearedinvestments@cba.com.au**

 **13 15 20**

 **commbank.com.au/gearedinvestments**