



Broker, Agency & Specialist Services

Application for Transfer Business Intermediary Nominee Accreditation

Transfer Sought. Please tick (✓) all appropriate options

Commercial Finance

Asset Finance

Section 1 – Business Intermediary (Aggregator/Head Group) request

I/We request that you approve the below mentioned to act as our Nominee in accordance with our Business Intermediary Agreement. I/We acknowledge that we are responsible for his/her conduct and remuneration.

We confirm the Business Intermediary Nominee:

- Has not left previous Business Intermediary with adverse findings.
- Is insured with appropriate Professional Indemnity Insurance (minimum cover \$2m unless seeking Asset Finance transfer only, then minimum cover is \$1m).
- Is a member of a recognised Industry Body. Industry Body name Membership #
- Is a member of the External Dispute Resolution Scheme. AFCA Membership #

Signed for and on behalf of ('Business Intermediary')
 (insert name of Business Intermediary)

Signature	Date
<input type="text"/>	<input type="text"/>
Position/capacity	Name of above signatory
<input type="text"/>	<input type="text"/>

Section 2 – Business Intermediary Nominee (Broker) details (All sections must be completed)

Brokers name		Business name (if applicable)		
<input type="text"/>		<input type="text"/>		
BIN number	Date of birth	Drivers licence number	Mobile number	ACL number (if held)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email				
<input type="text"/>				
Business address				
<input type="text"/>			State	Postcode
Residential address				
<input type="text"/>			State	Postcode

Section 3 – Business Intermediary Nominee declaration

Please read and sign the following declaration/consent:

- 'I agree to conduct myself in accordance with the relevant provisions of the Business Intermediary Agreement which has been executed by the Business Intermediary and the Bank. The relevant provisions relating to the performance of services and the payment of commission has been explained to me by the Business Intermediary'.
- 'I confirm I will personally interview clients prior to submitting any loan application to the Bank'.
- 'I authorise the Bank and any of its affiliates and those acting on its behalf, to verify information which I have presented in my application and to collect additional information which may be relevant to my application'.
- 'I authorise the Bank to
 - Obtain commercial credit report from a credit reporting body, and/or
 - Contact business referees, and/or
 - Obtain a National Criminal History Check, to enable the Bank to assess whether to consent to accreditation'.
- 'I confirm that I have never been declared bankrupt, or been subject to control under the Bankruptcy Act 1966'.
- 'I confirm that I have never been found guilty of an offence involving fraud, dishonesty, drug-trafficking, money laundering, violence, or any other criminal offence'.
- 'I confirm that I have never carried on any other occupation, profession, business that is regulated under any enactment of any State or territory of Australia and had my licence, or permission to carry on that occupation, profession, or business suspended or cancelled, or been disqualified from carrying on that occupation, profession, or business'.

Full Name of Business Intermediary Nominee (Broker)

Signature of Business Intermediary Nominee (Broker)	Date
<input type="text"/>	<input type="text"/>